

SHOOSMITHS EIGHT

AI COMPLY

A Shoosmiths EIGHT solution

Powered by  Enzai

Built on legal assurance and
powered by technology.

AS FEATURED IN:

ARTIFICIAL
LAWYER
LEGAL TECH & AI NEWS AND VIEW



LAW360

legali insider

THE LAWYER

CIR
CONTINUITY INSURANCE & RISK

FOR
WHAT
MATTERS

The AI governance challenge

Our problem statement:

AI governance is a new kind of compliance challenge.

The governance of AI presents a challenge that is materially different from many traditional compliance programmes.

AI adoption is accelerating at unprecedented speed, often through business-led experimentation, AI embedded into mainstream vendor tools and accessible, browser-based generative AI platforms.

Against this backdrop, organisations need to make complex, multidisciplinary assessments quickly, consistently and defensibly, while the relevant subject matter expertise is dispersed across legal, privacy, compliance, ethics, procurement, information security, IT, risk and operational teams.

The unique challenge is to build a governance model that can support **multidisciplinary decision-making at the scale and pace required by the business**, enabling organisations to realise the commercial benefits of AI while managing legal, regulatory and operational risk.

Problem accelerators

- **technical complexity**
AI systems, models, data, vendors and use cases can be difficult to assess through traditional approval processes
- **multidisciplinary ownership**
AI governance cuts across multiple functions, which can leave ownership unclear and decisions dependent on slow, committee-based processes
- **high adoption velocity**
AI use cases are emerging quickly, often before central teams have visibility or control
- **operational pressure**
governance decisions must be robust, but also repeatable, proportionate and fast enough to support business adoption
- **regulatory uncertainty**
legal and regulatory expectations are developing rapidly, requiring governance models that can adapt quickly.

Effective AI governance requires doing complex things quickly, consistently and at scale.

The market gap

The market has treated AI governance as either a technology problem or an advisory problem. **It is both.**

Technology-first solutions

AI governance platforms can support inventory management, workflow, approvals, reporting and audit evidence. They help organisations manage governance activity at scale.

But technology depends on the quality of the governance framework sitting underneath it. If the policies, risk criteria, approval pathways and documentation are incomplete or poorly calibrated, automation may simply **digitise the problem**.

Risk: poor foundations, scaled quickly

Advisory-first solutions

Legal and compliance advisers can design strong governance frameworks, policies, assessment tools and accountability models.

But without the right operating model and technology layer, those frameworks can remain **static, manual and difficult to apply** consistently across the organisation.

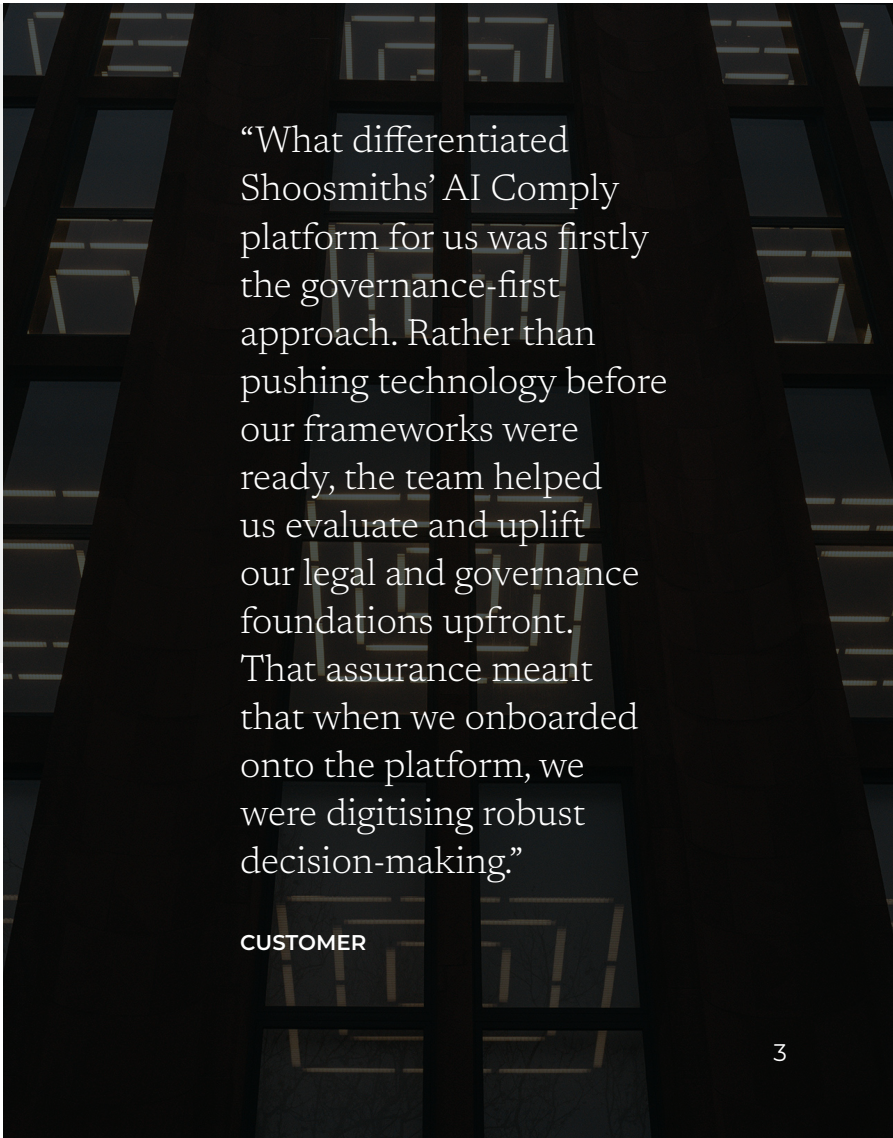
Risk: strong advice, limited operational scale.

AI Comply closes this gap

It brings together Shoosmiths' legal and governance expertise with Enzai's specialist AI governance platform, creating a joined-up proposition that supports the full AI governance lifecycle, from initial road mapping and programme design, through implementation and automation, to ongoing legal assurance.

The result: scalable governance technology, built on legally robust foundations.

AI Comply combines the innovation of specialist technology with the service and assurance of a law firm.



“What differentiated Shoosmiths’ AI Comply platform for us was firstly the governance-first approach. Rather than pushing technology before our frameworks were ready, the team helped us evaluate and uplift our legal and governance foundations upfront. That assurance meant that when we onboarded onto the platform, we were digitising robust decision-making.”

CUSTOMER

What is AI Comply?

One integrated proposition for the full AI governance lifecycle, assembled by our award-winning internal product house, **Shoosmiths EIGHT**.

AI Comply combines Shoosmiths' **legal, regulatory and governance expertise with a specialist AI governance platform**, powered by Enzai.

Through a four-stage delivery model, we help organisations design, build, automate and assure AI governance in a way that is practical, legally robust and capable of operating at scale.

Shoosmiths helps clients define governance foundations that are calibrated to their sector, geography, risk profile and regulatory environment: the policies, processes, risk criteria, accountability structures, contractual controls and decision-making artefacts that determine how AI should be governed.

Our technology partner, Enzai, provides the technology platform to operationalise and automate those foundations: supporting AI inventory management, workflow, risk assessment, approvals, reporting and evidence capture, all at scale.

Shoosmiths then provides ongoing legal and governance assurance, with support available directly through the platform, helping teams resolve complex questions, review higher-risk use cases and update frameworks to the latest regulatory standards.

AI COMPLY

Our modular, four-stage delivery model



1. DISCOVER

assess maturity and produce current state report with future roadmap.

2. BUILD

create or reinforce governance foundations and artefacts as required.

3. AUTOMATE

operationalise at scale through our fully integrated AI governance platform.

4. ASSURE

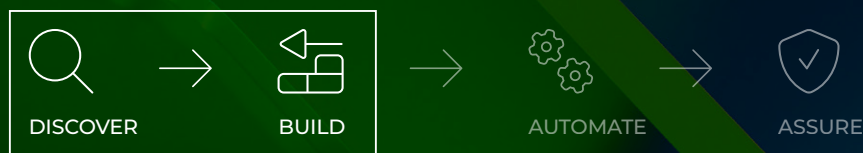
provide early-life and ongoing legal assurance and regulatory updates.

AI Comply helps organisations avoid the two common traps:

- automating weak governance
- creating strong governance that cannot scale

The AI Comply delivery model

A structured four-stage pathway – with flexible entry points.



1

DISCOVER

Health check and roadmap

We assess current AI governance maturity, review existing documentation and operating practices, identify gaps and produce a practical roadmap for progression.

Typical outputs:

- ✓ AI Governance Health Check and Roadmap
- ✓ maturity assessment against the AI Comply maturity model
- ✓ domain-by-domain findings
- ✓ prioritised recommendations
- ✓ suggested pathway through Build, Automate and Assure.

2

BUILD

Governance foundations & artefacts

We design or enhance the documents, processes and controls needed to support effective AI governance.

May include:

- ✓ AI governance framework
- ✓ AI policy
- ✓ intake and triage process
- ✓ risk assessment methodology
- ✓ approval pathways
- ✓ AI inventory requirements
- ✓ vendor and procurement controls
- ✓ contractual positions
- ✓ governance committee materials
- ✓ RACI and accountability model
- ✓ reporting framework.

The AI Comply delivery model

A structured four-stage pathway – with flexible entry points.



3

AUTOMATE

Operational implementation through a platform

Working seamlessly with our partners, Enzai, we help translate the governance model into scalable workflows, approvals, inventories, dashboards and evidence trails through its specialist AI governance platform.

This moves governance from static documents into a repeatable operating model capable of supporting AI adoption across the business.

Where required, Enzaican work with IT and information security teams to integrate with existing enterprise architecture, such as AWS, Azure, Databricks, Snowflake, Jira and ServiceNow and other workflow or service management tools.

4

ASSURE

Ongoing legal and governance assurance

Shoosmiths provides ongoing support as AI governance operates in practice.

In an industry first, fractional legal support can be provided directly through the platform, reducing the friction and cost of external support, whilst maintaining approval speeds.

May include:

- ✓ legal input on higher-risk AI use cases
- ✓ review of regulatory developments
- ✓ support with governance committee questions
- ✓ updating artefacts as the programme matures
- ✓ assurance over new use cases, vendors or models
- ✓ periodic maturity reviews.

Where organisations lack the in-house expertise or capacity to lead their AI governance programme day to day, our **AI Governance Officer** sister solution can provide fractional access to AI leadership, helping establish the practical precedents, decision-making rhythms and operating model needed for long-term self-sufficiency.

One end-to-end proposition. Multiple entry points

AI Comply is modular. Teams can start at their **current maturity level**, then use the **four-stage model** to build, automate and assure their AI governance programme over time.

MATURITY LEVEL	LEVEL 1	LEVEL 2	LEVEL 3	LEVEL 4	LEVEL 5
DESCRIPTION	Ad hoc	Documented	Operationalised	Automated	Assured
DESCRIPTION	AI use is largely unmanaged, unmonitored and often not consistently documented	Governance defined on paper	Governance embedded in developed processes	Governance enabled and supported by tooling / other automation	Governance enabled and continuously monitored throughout AI system / product's lifecycle
CORE CHARACTERISTICS	- no consistent AI definition - limited visibility of AI use - risks addressed informally or inconsistently.	- AI systems well defined - AI policies and guidance exist - manual assessments undertaken - reliance on individual judgement.	Level 2 plus: - standardised intake process - risk tierings clearly defined and documented with consistent assessment - governance committees established and operational - AI inventory consistently documented and maintained.	Level 3 plus: - single, consistent location of all AI use cases (throughout governance lifecycle) - automated risk categorisation - workflow routing - integrated and traceable AI inventory.	Level 4 plus: - ongoing monitoring embedded into either internal or vendor processes / obligations (as appropriate) - evidence-based assurance - consistent and accessible audit-ready reporting - ongoing business oversight of AI systems - consistent provision for re-assessment / re-certification at appropriate cadence.



Four modules to AI governance maturity



DISCOVER

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BUILD

→



AUTOMATE

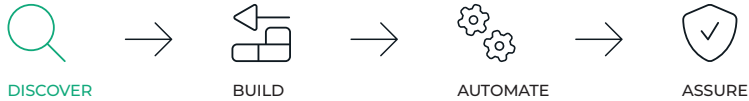
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ASSURE

Example entry points

- starting out?** Begin with DISCOVER to establish current state and develop roadmap
- have governance documents but lack confidence?** Use DISCOVER to validate, benchmark and prioritise
- understand your direction but need help developing frameworks, risk taxonomy and RACI?** Consider BUILD.
- have strong foundations but too much manual process?** Move into AUTOMATE with our specialist platform
- operating at scale but facing complex legal questions?** Use ASSURE for ongoing assurance and horizon scanning
- looking for a single partner to guide you from first principles to a mature AI governance programme?** Use AI Comply to its fullest.



AI COMPLY DISCOVER

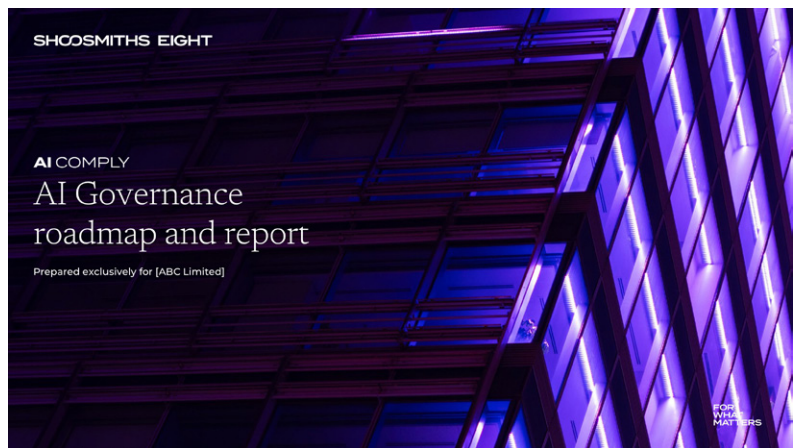
A practical starting point for organisations at any stage of maturity.

DISCOVER, the first module in AI Comply, gives organisations an independent view of their current AI governance maturity.

It helps validate work completed to date, identify gaps before they become more significant, and provide a clear roadmap for what to do next.

The DISCOVER module is designed for organisations that are starting their AI governance journey, have inherited responsibility for AI governance, are unsure whether their existing approach is sufficient, or want to understand whether they are ready to automate and scale their governance model.

Through workshops, stakeholder conversations and document review, Shoosmiths assesses current governance arrangements against the AI Comply 5-stage maturity model and provides a practical **Health Check and Roadmap**.



What we assess

Assessment domains include:

- AI strategy and risk appetite
- governance framework and policy position
- roles, responsibilities and accountability
- AI intake and triage
- risk assessment and categorisation
- AI inventory and record keeping
- lifecycle controls and monitoring
- third-party and vendor AI risk
- contractual and procurement controls
- training, awareness and AI literacy
- workflow, reporting and automation readiness
- assurance and continuous improvement.

Outputs

DISCOVER produces a clear, tangible report that includes::

- a current-state **Health Check**
- a maturity assessment using our 5-point model
- key strengths and gaps
- prioritised short, medium, and long-term recommendations
- a practical delivery **Roadmap**
- recommended next steps across Build, Automate and Assure.



Contact us to start
your AI Comply journey



TOM SPELLER
DIRECTOR OF
SHOOSMITHS EIGHT
tom.speller@shoosmiths.com

Tom's role

Tom will support the service design and operating model, including access to wider EIGHT capability where useful.

Wider team

Tom and Rebecca will be supported by Shoosmiths' 35-strong AI, privacy, cyber and technology team where specialist or jurisdiction-specific input is required.



REBECCA COLTON
GO-TO-MARKET LEAD
SHOOSMITHS EIGHT
rebecca.colton@shoosmiths.com

Rebecca's role

Rebecca will help coordinate the relationship and provide access to the wider Shoosmiths and EIGHT team, ensuring the right expertise is brought together to support your organisation's AI governance goals.

AI Comply helps organisations move from uncertainty to scalable, legally assured AI governance.

To discuss your AI governance maturity or explore the right entry point for your organisation, please contact the Shoosmiths AI Comply team.

More products from

SHCOSMITHS EIGHT

For us, legal advice is just one piece of the puzzle when it comes to tackling business challenges.

EIGHT is a portfolio of powerful solutions that seamlessly extend our core legal expertise with capabilities you may not expect from your law firm. Our aim? To solve complex problems, with complete products, from a single provider. Because complex challenges require more than just law.

Welcome to a new era of client centricity with EIGHT.

SHCOSMITHS EIGHT | DIGITAL SOLUTIONS

AI COMPLY

A software governance platform giving unrivalled “flight-deck” style control over AI usage and oversight within businesses.

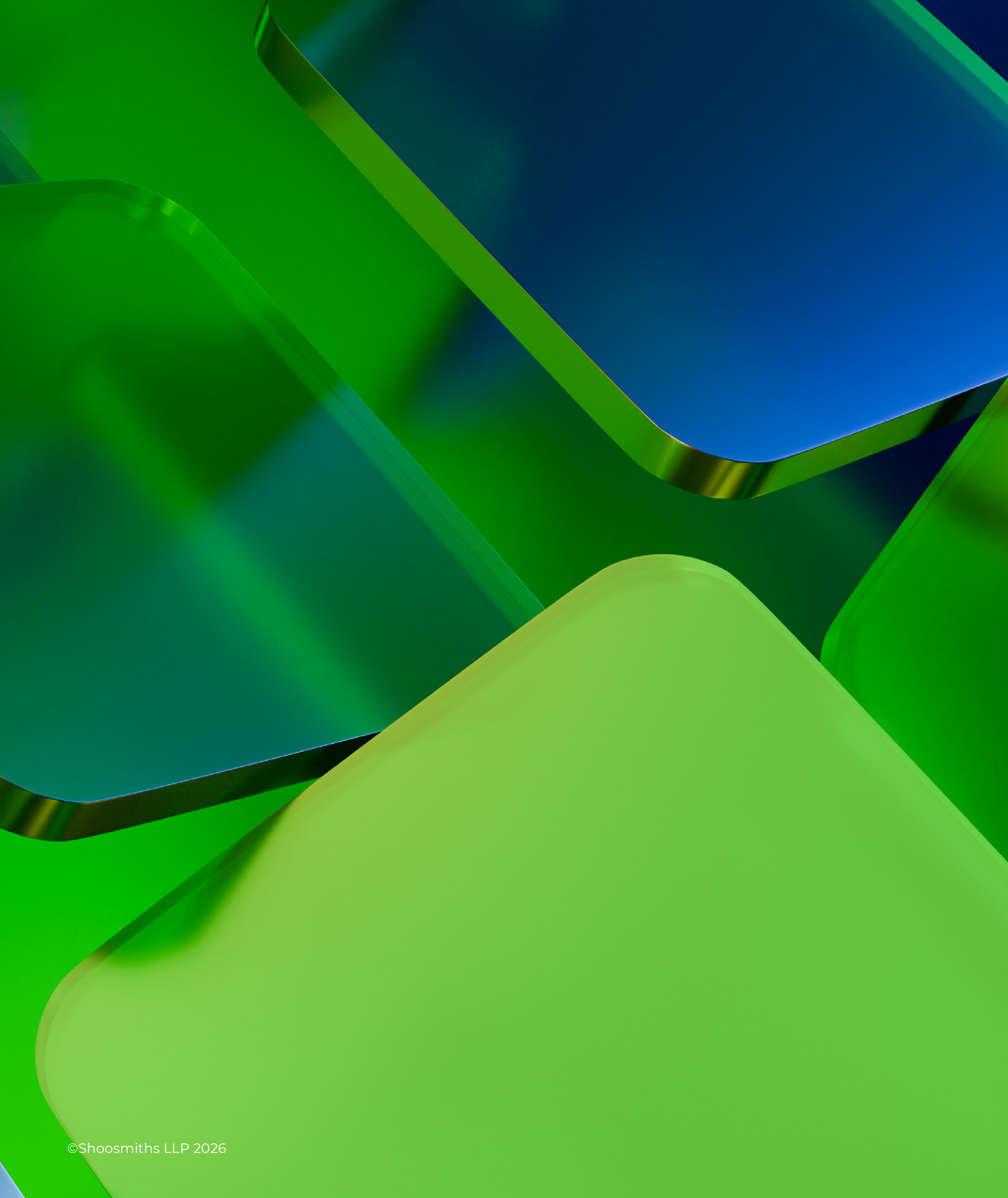
eLEARNING

Our inhouse eLearning business provides ready-made or custom educational modules to cascade learning through organisations.

SHCOSMITHS EIGHT

FRACTIONAL & MANAGED SERVICES

RoPA360 Fully supported data mapping to build globally compliant data inventories under GDPR, CCPA, CPA and beyond.	LEGAL OPERATIONS Fractional GC and legal operations support without the commitment or headcount.	FSC Fractional compliance staff, managed services and outsourced remediation aimed at the financial services sector.	GOVERNANCE & COMPANY SECRETARIAL A full complement of outsourced governance, entity management and company secretarial solutions.
DPO Alleviate data compliance concerns with our fractional outsourced Data Protection Officer service.	SMARTSAR A blend of data privacy expertise and technology provides an end-to-end managed DSAR solution.	HEADROOM On demand HR Directors and Chief People Officers delivering strategy, projects and hands-on execution.	AI GOVERNANCE OFFICER Fractional AI governance leadership providing practical oversight, risk management, and expert guidance to help organisations mature and operationalise their AI capabilities.



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SHOOSMITHS EIGHT

Solutions you might not expect. Expertise you do.

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